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CONFIRMATION NO. 5177

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|---|---|-------------------------------|---|---|
| <b>SERIAL NUMBER</b><br>10/611,305  | <b>FILING OR 371(c) DATE</b><br>06/30/2003<br><b>RULE</b>   | <b>CLASS</b><br>705           | <b>GROUP ART UNIT</b><br>3693   | <b>ATTORNEY DOCKET NO.</b><br>GRAFF-P1-03 |
| <b>APPLICANTS</b><br>Richard A. Graff, Chicago, IL;   |   |                               |   |   |
| <b>** CONTINUING DATA *****</b><br>This application is a CON of 09/134,453 08/14/1998 PAT 7,203,661<br>and is a CIP of 08/181,632 01/12/1994 PAT 5,802,501<br><br><b>** FOREIGN APPLICATIONS *****</b>  |   |                               |   |   |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b><br><b>** 02/25/2004</b>   |   |                               |   |   |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>met Allowance<br>Verified and Acknowledged _____<br>Examiner's Signature Initials |   | <b>STATE OR COUNTRY</b><br>IL | <b>SHEETS DRAWING</b><br>17   | <b>TOTAL CLAIMS</b><br>160                |
|   |   |                               |   | <b>INDEPENDENT CLAIMS</b><br>5            |
| <b>ADDRESS</b><br>Peter K. Trzyna<br>P.O. Box 7131<br>Chicago, IL60680-7131   |   |                               |   |   |
| <b>TITLE</b><br>COMPUTER SUPPORT FOR VALUING AND TRADING SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT INCOME   |   |                               |   |   |
| <b>FILING FEE RECEIVED</b><br>2386  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |

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